Approved for use through 10/s 2002. ONB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/418,818 TRANSMITTAL October 15, 1999 **Filing Date FORM** Cheung, David **First Named Inventor** 1 1 2 1763 de used for all correspondence after initial filing) **Group Art Unit** TRADE! Rudy Zervigon **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number A1084D01T93200 ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form-In Duplicate (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief): Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time/Request ∀ 1.61/11 Change of Correspondence Address (please identify below): . 53.5 Terminal Disclaimer Return Postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to **Certified Copy of Priority** Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ BEST AVAILABLE COPY Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Chun-Pok Leuna Reg. No. 41,405 Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 3, 2002 Andrea S. Berk Typed or printed name Date Signature

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| FRE TRANSMITTAL  | Application Number   | 09/418,818       |  |  |  |  |  |
| P 1 1 2002 5 for FY 2002   | Filing Date          | October 15, 1999 |  |  |  |  |  |
| The pricant claims small entity status. See 37 CFR 1.27  | First Named Inventor | Cheung, David    |  |  |  |  |  |
|  | Examiner Name        | Rudy Zervigon    |  |  |  |  |  |
|  | Group Art Unit       | 1763             |  |  |  |  |  |
|  | <u>.</u>             | 44004704702000   |  |  |  |  |  |

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|   | METHOD OF PAYMENT (check all that apply)  |                     |             |             |             | CALCULATION (continued)  |             |
| Check Credit Card MoneyOrder Other None  3. ADDITIONAL FEES Large Entity Small Entity |   |                     |             |             |             |  |             |
| Deposit Accou   | ınt:  | Fee<br>Code         | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description  | Fee<br>Paid |
| Account   | 20-1430   | 105                 | 130         | 205         | 65          | Surcharge - late filing fee or oath  |             |
| Number  |   | 127                 | 50          | 227         | 25          | Surcharge - late provisional filing fee or cover sheet.                    |             |
| Deposit<br>Account  | Townsend and Townsend and   | 139                 | 130         | 139         | 130         | Non-English specification  |             |
| Name  | Crew LLP  | 147                 | 2.520       | 147         | 2,520       | For filling a request for reexamination                                    |             |
| K-78  | er is authorized to: (check all that apply) indicated below Credit any overpayments               | 112                 | 920°        | 112         | 920°        | Requesting publication of SIR prior to<br>Examiner action                  |             |
|   | Indicated below Credit any overpayments  Iditional fee(s) during the pendency of this application | 113                 | 1,840*      | 113         | 1,840*      | Requesting publication of SIR after  |             |
| Charge fee(s)   | indicated below, except for the filling fee   |                     |             |             | 55          | Examiner action  | 440         |
|   | ied deposit account.  | 115<br>116          | 110<br>400  | 215<br>216  | 200         | Extension for reply within first month                                     | 110         |
|   | FEE CALCULATION   |                     | 920         | 217         | 460         | Extension for reply within second month                                    |             |
| 1. BASIC FII  | LING FEE  | 117<br>118          | 1.440       | 218         | 720         | Extension for reply within third month                                     |             |
| Large Entity  | Small Entity  | 128                 | 1,960       | 228         | 980         | Extension for reply within fourth month                                    |             |
| ,   | Fee Fee Description   | 119                 | 320         | 219         | 160         | - Extension for reply within fifth month Notice of Appeal                  | 320         |
| ,,,   | Code (\$) Fee Paid  | 120                 | 320         | 220         | 160         | Filing a brief in support of an appeal                                     | 320         |
|   | 201 370 Utility filing fee  | 121                 | 280         | 221         | 140         | Request for oral hearing   |             |
|   | 206 165 Design filing fee   | [ '*'               | 200         | <b> </b>    | 9. 1        | Petition to institute a public use   |             |
|   | 207 255 Plant filing fee<br>208 370 Reissue filing fee  | 138                 | 1,510       | 138^        | 1,510       | proceeding   |             |
|   | 214 80 Provisional filing fee   | 140                 | 110         | 240         | 55          | Petition to revive – unavoidable   |             |
|   |   | 141                 | 1,280       | 241         | 640         | Petition to revive - unintentional .                                       |             |
|   | SUBTOTAL (1) (\$)   | 142                 | 1,280       | 242         | 640         | Utility issue fee (or reissue)   | 2           |
| 2 EYTPA CLAIR   | A FEES FOR UTILITY AND REISSUE  | 143                 | 460         | 243         | 230         | Design issue fee   | ~ ` `       |
| Z. EXTRA CLASS  | Fee from  | 144                 | 620         | 244         | 310         | Plant issue fee  | A.          |
|   | Extra Claims below Fee Paid   | 122                 | 130         | 122         | 130         | Petitions to the Commissioner  | (1)         |
| Total Claims  | -20** = X = =   | 123                 | 50          | 123         | 50          | Petitions related to provisional applications                              | . 7         |
| Claims  | X   | 126                 | 180         | 126         | 180         | Submission of Information Disclosure                                       |             |
| Dependent   | X=  | 581                 | 40          | 581         | 40          | Recording each patent assignment per property (times number of properties) |             |
| Large Entity  | Small Entity  | 146                 | 740         | 248         | 370 ***     | Filing a submission after final relection                                  |             |
| Fee Fee<br>Code (\$)  | Fee Code Fee Fee Description  |                     | *           |             |             | (37 ČFR § 1.129(a))  |             |
| 103 18  | 203 9 Claims in excess of 20  | 149                 | 740         | 249         | 370         | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 102 84  | 202 42 Independent claims in excess of 3  | l                   |             |             |             | examined (37 CFR § 1.129(b))   |             |
| 104 280   | 204 140 Multiple dependent claim, if not paid   | 179                 | 740         | 279         | 370         | Request for Continued Examination (RCE)                                    |             |
| 109 84  | 209 42 ** Reissue independent claims over<br>original patent                                      | 169                 | 900         | 169         | 900         | Request for expedited examination of a design application                  |             |
| 110 18  | 210 9 ** Retssue claims in excess of 20 and over original patent                                  | Other fee (specify) |             |             |             |  |             |
|   | SUBTOTAL (2) (\$)   | L                   |             |             |             |  |             |
| **or number previou   | usly paid, if greater, For Reissues, see above  | *Redu               | iced by B   | asic Fili   | ng Fee Pa   | id SUBTOTAL (3) (\$)430  |             |
|   | •   | l                   |             |             |             |  |             |

| SUBMITTED BY      |                  |                                   |        | Complete (if applicable) |              |  |  |
|-------------------|------------------|-----------------------------------|--------|--------------------------|--------------|--|--|
| Name (Print/Type) | Chun-Pok Leung   | Registration No. (Attorney/Agent) | 41,405 | Telephone                | 650-326-2400 |  |  |
| Signature         | Signature Libert |                                   |        | Date                     | 9-3-02       |  |  |

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